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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Serial No.09/610,160
3 Filing Date Jul 05, 2000
4 Inventorship Ward
Applicant Marketocracy
5 Attorney's Docket No. MK1-003US
Title: System and Method for Determining and Tracking Performance Metrics for
6 Individual Investors

7 **INFORMATION DISCLOSURE STATEMENT**

8 *References -- See Attached Form PTO-1449*

9 **REMARKS**

10 The citations listed, copies attached, are submitted in compliance with the
11 duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make
12 these citations of official record in this application.

13
14 Respectfully Submitted,

15 Date: 8/6/03

16 By: 

17 Steven R. Sponseller
18 Reg. No. 39,384

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20 **RECEIVED**
21 **AUG 12 2003**
22 **GROUP 3600**
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